## LAPS ROS'O POT/FTO 27 MAR 2008

FORM PTO 1390

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

ATTORNEY DOCKET NUMBER 2006 0369A

U.S. APPLICATION NO. (If known, 10 ) OF 1/5 72 639

International Application No.

PCT/JP2004/013987

International Filing Date September 16, 2004 Priority Date Claimed September 17, 2003

Title of Invention

CINNAMOYL COMPOUND AND USE OF THE SAME

Applicant(s) For DO/EO/US

Yoshitaka TOMIGAHARA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [X] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [X] has been transmitted by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X] A translation of the International Application into English (35 U.S.C. §371(c)(2)). ATTACHMENT A
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] have been transmitted by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [X] An executed oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). ATTACHMENT B
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

## Items 11. to 14. below concern other document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

## ATTACHMENT C

- 13. [X] A FIRST preliminary amendment. ATTACHMENT D
  - ' [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [X] Other items or information:
  - WO 2005/028463 ATTACHMENT E

Form PCT/IB/304 - ATTACHMENT F

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

International Search Report - ATTACHMENT G

Sequence Listing in paper and computer readable form are identical and contain

no new matter

VAP9 Rec'd PCT/PTO 17 MAR 2006 U.S. APPLICATION NT 0-4572639 INTERNATIONAL APPLICATION NO. NEW PCT/JP2004/013987 ATTORNEY'S DOCKET NO. 2006\_0369A PTO USE ONLY 15. [X] The following fees are submitted CALCULATIONS \$2,150.00 ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). Number Filed Claims Number Extra Rate **Total Claims** 62 -20 = 42 X \$50.00 \$2,100.00 Independent Claims 18 - 3 =15 X \$200.00 \$3,000.00 Multiple dependent claim(s) (if applicable) + \$360.00 **TOTAL OF ABOVE CALCULATIONS =** \$7,250.00 Small Entity Status is hereby asserted. Above fees are reduced by 1/2. \$7,250.00 SUBTOTAL =Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$7,250.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$40.00 \$7,290.00 **TOTAL FEES ENCLOSED =** Amount to be refunded Amount to be charged a. [X] A check in the amount of \$7,290.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [1] Please charge my Deposit Account No. 23-0975 in the amount of \$\_\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. 19. CORRESPONDENCE ADDRESS Warren M. Cheek, Jr. Registration No. 33,367

CUSTOMER NO.

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WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax:(202) 721-8250

March 17, 2006